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**PATENT** 

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Lunnen, Keith D.; Davis, Theodore and Wilson, Geoffrey G.

Application No.: 10/668,047

Group No.: 1642

Filed: September 22, 2003

Examiner: Not yet assigned

For:

Method for Cloning and Expression of SbfI Restriction Endonuclease and SbfI Methylase in E.

coli

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT WITHIN THREE MONTHS OF FILING OR BEFORE MAILING OF FIRST OFFICE ACTION (37 C.F.R. § 1.97(b))

# IDENTIFICATION OF TIME OF FILING THE ACCOMPANYING INFORMATION DISCLOSURE STATEMENT

The information disclosure statement submitted herewith is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever event occurs last. 37 C.F.R. § 1.97(b).

## CERTIFICATION UNDER 37 C.F.R. "1.8(a) and 1.10\*

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37 C.F.R. § 1.8(a)

37 C.F.R. § 1.10\*

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Signature

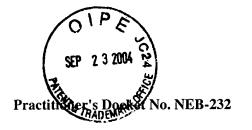
Leslie Goldberg

(type or print name of person certifying)

<sup>\*</sup> Only the date of filing ('1.6) will be the date used in a patent term adjustment calculation, although the date on any certificate of mailing or transmission under '1.8 continues to be taken into account in determining timeliness. See '1.703(f). Consider "Express Mail Post Office to Addressee" ('1.10) or facsimile transmission ('1.6(d)) for the reply to be accorded the earliest possible filing date for patent term adjustment calculations.

Date: September 25, 2004

Harriet M. Strimpel, D. Phil. Registration No. 37,008 New England Biolabs, Inc. 32 Tozer Road Beverly, MA 01915 978-927-5054



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In re application of:

Keith D. Lunnen, Theodore Davis and Geoffrey G. Wilson

Application No.: 10/668,047

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For: Method for Cloning and Expression of SbfI Restriction Endonuclease and SbfI Methylase in E. coli

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#### INFORMATION DISCLOSURE STATEMENT

## List of Sections Forming Part of This Information Disclosure Statement

The following sections are being submitted for this Information Disclosure Statement:

- 1. Forms PTO/SB/08A and 08B (formerly Form PTO-1449)
- 2. Copies of Listed Information Items Accompanying This Statement
- 3. Identification of Person(s) Making This Information Disclosure Statement

## CERTIFICATION UNDER 37 C.F.R. §§ 1.8(a) and 1.10\*

(When using Express Mail, the Express Mail label number is mandatory; Express Mail certification is optional.)

I hereby certify that, on the date shown below, this correspondence is being:

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37 C.F.R. § 1.8(a)

37 C.F.R. § 1.10\* as "Express Mail Post Office to Addressee"

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Signature

Leslie Goldberg

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# Section 1. Forms PTO/SB/08A and 08B (formerly Form PTO-1449)

 $(Insert\ form(s)\ PTO/SB/08A\ [Form\ 6-2]\ and/or\ form(s)\ PTO/SB/08B\ [Form\ 6-2.1].)$ 

## Section 2. Copies of Listed Information Items Accompanying This Statement

Legible copies of all items listed in Forms PTO/SB/08A and 08B (substitute for Form PTO-1449) accompany this information statement. AA-AB and CA-CB.

## Section 3. Identification of Person Making This Information Disclosure Statement

The person making this certification is:

a. the practitioner who signs below on the basis of the information

Date: September 16, 2004

Harriet M. Strimpel, D. Phil. Registration No. 37,008 New England Biolabs, Inc. 32 Tozer Road Beverly, MA 01915

978-927-5054

Customer No. 28986

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Lunnen et al.

Atty. Docket:

NEB-232

Serial No:

10/668,047

Art Group Unit:

1642

Date Filed:

09/22/03

Examiner Name:

Not yet assigned

Invention:

Method for Cloning and Expression of SbfI Restriction Endonuclease and SbfI

Methylase in E. coli.

# LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

U.S. PATENT DOCUMENTS						
Examiner Initials	Reference Number	Document Number	Issue / Pub Date	Inventor	Class/Subclass	
	AA	5,200,333	03/19/1991	Wilson	C12N	
	AB	5, 098,839	05/10/1990	Polisson	C12N	

FOREIGN PATENT DOCUMENTS						
Examiner Initials	Reference Number	Country Code	Document Number	Publication Date	Patenteee or Applicant	Class/Subclass
		,				

	OTHER DOCUMENTS						
Examiner Initials	Reference Number	Author	Title of Article, Title of Journal, Volume Number, Page Numbers, Date				
	CA	Roberts et al.	Nucl. Acids Res. 29: 268-269 (2001)				
	СВ	Hopwood et al.	Genetic Manipulation of Streptomyces: A Laboratory Manual. John Innes Foundation, Norwich, p.77 (1985)				

Examiner Signature:
Date Considered:
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation <i>if not</i> in conformance and not considered. Include copy of this form with next communication to applicant.